

08-06-01

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/767,365	01/22/2001	Sheng Liang	22271-05227

758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306



CONFIRMATION NO. 2538

FORMALITIES LETTER



OC000000006335892

Date Mailed: 07/25/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may,however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a)accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

T02080"5929260



IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANT(S): Sheng Liang *et al.*

APPLICATION NO.: 09/767,365

FILING DATE: January 22, 2001

TITLE: SCALABLE DERIVATIVE SERVICES

EXAMINER: Unknown

GROUP ART UNIT: 2151

ATTY. DKT. NO.: 22271-05227

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10 in an envelope addressed to: Box Provisional Patent Application, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. EXPRESS MAIL NO: EL541496322US.

Dated: 2 August 2001.

By:

Daniel R. Brownstone, Reg. No.: 46,581

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY

SIR:

Responsive to Notice to File Missing Parts dated March 2, 2001 and the Notice of Incomplete Reply received in the above-identified patent application,

☒ Enclosed are:

- ☒ Copy of the Notice of Incomplete Reply;
- ☒ Eight (8) sheets substitute drawings (Figs 1- 8);
- ☒ Payment in the amount of \$250.00 for the additional extension of time fee;
- ☒ Copy of the original request for a two month extension of time to respond to the Notice of Missing Parts and payment of fee.

08/08/2001 BABRAHA1 00000069 09767365

01 FC:217

250.00 OP

☒ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,
SHENG LIANG, HONG ZHANG, ABHISHEK
CHAUHAN, RAJIV MIRANI AND OLIVER
CHANG

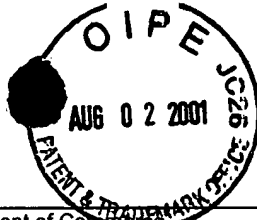
Dated: 2 Aug 2001

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22271/05227/SF/5055229.1

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T02080"59E29260

EXPRESS MAIL NO: EL541496322US


 PTO/SB/17 (10-00)(modified)
 Approved for use through 09/29/01, OMB 0651-0032
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) Rev. 10/2000 FEE TRANSMITTAL TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$250.00)	Complete if Known <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td>Application Number</td><td>09/767,365</td></tr> <tr><td>Filing Date</td><td>January 22, 2001</td></tr> <tr><td>First Named Inventor</td><td>Sheng Liang et al.</td></tr> <tr><td>Group Art Unit</td><td>2151</td></tr> <tr><td>Examiner Name</td><td>Unknown</td></tr> <tr><td>Attorney Docket Number</td><td>22271-05227</td></tr> </table>	Application Number	09/767,365	Filing Date	January 22, 2001	First Named Inventor	Sheng Liang et al.	Group Art Unit	2151	Examiner Name	Unknown	Attorney Docket Number	22271-05227
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METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																								
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.† <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP A Duplicate Copy of this authorization is attached 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other	3. 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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Daniel R. Brownstone	Reg. Number	46,581
Signature		Date	2 Aug 2001

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby